



J-0

266 116
Open 6/2001

Attorney Docket No. 20429/10

RECEIVED
Technology Center 2600
APR 11 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Seth Haberman, et al.

Application No.: 09/735,983

Group Art Unit: 2661

FILED: December 13, 2000

Examiner: not yet assigned

FOR: *SYSTEM AND METHOD FOR SEAMLESS SWITCHING*

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner of Patents, Washington, D.C. 20231 on:

By:

June Kaps

June E. Kaps

Date

April 4, 2001

**INFORMATION DISCLOSURE STATEMENT
(SUBMISSION AFTER FILING OF AN APPLICATION
BUT BEFORE THE MAILING DATE OF A FIRST OFFICE ACTION ON THE
MERITS)**

Assistant Commissioner of Patents
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, applicant hereby submits an Information Disclosure Statement for consideration by the Examiner.



Application No. 09/735,983
Filed: December 13, 2000
Group Art Unit: 2661

I. LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION

The patents, publications or other information submitted for consideration by the Office are listed on PTO-1449, attached hereto.

II. COPIES

a. X **Submitted herewith is a legible copy of (i) each U.S and foreign patent; (ii) each publication or that portion which caused it to be listed; and (iii) all other information or that portion which caused it to be listed.**

III. CONCISE EXPLANATION OF THE RELEVANCE

(check at least one box)

a. X **Except as may be indicated below in (b), all of the patents, publications or other information are in the English language or were cited in an English language Search Report, a copy of which is attached hereto (concise explanation not required).**

b. _____ **A concise explanation of the relevance of all patents, publications or other information listed that is not in the English language is as follows:**

c. _____ **The following additional information is provided for the Examiner's consideration:**



Application No. 09/735,983
Filed: December 13, 2000
Group Art Unit: 2661

FEES

IV. THIS IDS IS BEING FILED UNDER 37 C.F.R. § 1.97(b)

(check one box)

- a. _____ within three months of the filing date of a national application (37 C.F.R. § 1.97(b) (1)). No fee or certification is required.
- b. _____ within three months of the date of entry of the national stage as set forth in §1.491 in an international application (37 C.F.R. § 1.97(b) (2)). No fee or certification is required.
- c. **X** **before the mailing date of a first Action on the merits (37 C.F.R. § 1.97(b) (3)). No fee or certification is required.** In the event that a first Office Action on the merits has been issued, please consider this IDS under 37 C.F.R. § 1.97(c) and see the certification under 37 C.F.R. § 1.97(e)below, or, if no certification has been made, charge our deposit account a fee in the amount of \$180.00 as required by 37 C.F.R. § 1.17(p).

V. THIS IDS IS BEING FILED UNDER 37 C.F.R. § 1.97(c):

(check one box)

before the mailing date of a Final Office Action under 37 C.F.R. § 1.113 (See 37 C.F.R. § 1.97(c) (1)) or before the mailing date of a Notice of Allowance under 37 C.F.R. § 1.311 (See 37 C.F.R. § 1.97(c) (2)).

a. _____ No certification; therefore, a fee in the amount of \$180.00 is required by 37 C.F.R. . § 1.17(p).

or

b. _____ See the certification below. No fee is required.



Application No. 09/735,983
Filed: December 13, 2000
Group Art Unit: 2661

VI. CERTIFICATION UNDER 37 C.F.R. § 1.97(e) (check only one box)

The undersigned hereby certifies that

- a. _____ each item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS; or
- b. _____ no item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application or, to the best of my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.
- c. _____ Some of the items of information were cited in a communication from a foreign Patent Office. As to this information, the undersigned certifies that each item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby certifies that no item of this remaining information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application or, to the best of my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.

Please charge Deposit Account No. 500369 in the amount of \$180.00 for the above-indicated fee. A triplicate copy of this paper is attached.

X _____ No fee is required.



Application No. 09/735,983
Filed: December 13, 2000
Group Art Unit: 2661

If the Examiner has any questions concerning this IDS, he/she is requested to contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule (with a petition, if necessary) and charge the appropriate fee to Deposit Account No. 500369.

Respectfully submitted,

Date: 4-4-01

A handwritten signature in black ink that reads "Brian Michaelis".

Brian L. Michaelis, Esq.
Registration No. 34,221
Brown Rudnick Freed & Gesmer, P.C.
One Financial Center
Boston, MA 02111
Tel: (617) 856-8369



RECEIVED
APR 11 2001
Technology Center 2600

Sheet 1 of 3

FORM PTO-1466 INFORMATION DISCLOSURE STATEMENT			ATTY DOCKET NO. 20429/10		SERIAL NO. 09/735,983		
			APPLICANT(S): Seth Haberman, et al.				
			FILING DATE: December 13, 2000		ART UNIT: 2661		
UNITED STATES PATENT DOCUMENTS							
EXAM. INITIAL		DOCUMENT NUMBER	DATE	INVENTOR	CLASS	SUB CLASS	FIL. DATE IF APPR
	AA	Re 34,340	08/10/93	Freeman	358	86	
	AB	4,573,072	02/25/86	Freeman	358	86	
	AC	4,602,279	07/22/86	Freeman	358	86	
	AD	4,847,698	07/11/89	Freeman	358	343	
	AE	4,847,699	07/11/89	Freeman	358	343	
	AF	4,847,700	07/11/89	Freeman	358	343	
	AG	4,918,516	04/17/90	Freeman	358	86	
	AH	5,155,591	10/13/92	Wachob	358	86	
	AI	5,173,900	12/22/92	Miller et al.	370	110.1	
	AJ	5,231,494	07/27/93	Wachob	358	146	
	AK	5,260,778	11/09/93	Kauffman et al.	358	86	
	AL	5,343,239	08/30/94	Lappington et al.	348	12	
	AM	5,448,568	09/05/95	Delpuch et al.	372	94.2	
	AN	5,519,433	05/21/96	Lappington et al.	348	2	
	AO	5,526,035	06/11/96	Lappington et al.	348	13	
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRAN Y/N
OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)							
Examiner:				Date:			



FORM 149 INFORMATION DISCLOSURE STATEMENT			ATTY DOCKET NO. 20429/10		SERIAL NO. 09/735,983		
			APPLICANT(S): Seth Haberman, et al.				
			FILING DATE: December 13, 2000		ART UNIT: 2661		
UNITED STATES PATENT DOCUMENTS							
EXAM. INITIAL		DOCUMENT NUMBER	DATE	INVENTOR	CLASS	SUB CLASS	FIL. DATE IF APPR
	AP	5,537,141	07/16/96	Harper et al.	348	12	
	AQ	5,548,532	08/20/96	Menand et al.	364	514	
	AR	5,585,858	12/17/96	Harper et al.	348	485	
	AS	5,617,142	04/01/97	Hamilton	348	405	
	AT	5,632,007	05/20/97	Freeman	395	75	
	AU	5,638,113	06/10/97	Lappington et al.	348	12	
	AV	5,682,196	10/28/97	Freeman	348	13	
	AW	5,724,091	03/03/98	Freeman et al.	348	13	
	AX	5,734,413	03/31/98	Lappington et al.	348	12	
	AY	5,764,275	06/09/98	Lappington et al.	348	12	
	AZ	5,774,664	06/30/98	Hidary et al.	395	200.48	
	AAA	5,778,181	07/07/98	Hidary et al.	395	200.48	
	AAB	5,825,884	10/20/98	Zdepski et al.	380	25	
	AAC	5,861,881	01/19/99	Freeman et al.	345	302	02/08/96
	AAD	5,867,208	02/02/99	McLaren	348	13	10/28/97
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRAN Y/N
OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)							
Examiner:				Date:			



RECEIVED
APR 11 2001
Technology Center 2600

Sheet 3 of 3

FORM 147 INFORMATION DISCLOSURE STATEMENT			ATTY DOCKET NO. 20429/10		SERIAL NO. 09/735,983		
			APPLICANT(S): Seth Haberman, et al.				
			FILING DATE: December 13, 2000		ART UNIT: 2661		
UNITED STATES PATENT DOCUMENTS							
EXAM. INITIAL		DOCUMENT NUMBER	DATE	INVENTOR	CLASS	SUB CLASS	FIL. DATE IF APPR
	AAE	5,887,243	03/23/99	Harvey et al.	455	3.1	06/07/95
	AAF	5,913,031	06/15/99	Blanchard	395	200.34	11/30/95
	AAG	5,917,830	06/29/99	Chen et al.	370	487	10/18/96
	AAH	5,929,850	07/27/99	Broadwin et al.	345	327	07/01/96
	AAI	5,937,331	08/10/99	Kalluri et al.	455	6.1	07/01/96
	AAJ	6,018,768	01/25/00	Ullman et al.	709	218	07/06/98
	AAK	6,038,367	03/14/00	Abecassis	386	46	02/19/99
	AAL	6,049,569	04/11/00	Radha et al.	375	240	12/09/97
	AAM	6,141,358	10/31/00	Hurst, Jr. et al.	370	543	06/05/98
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRAN Y/N
OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)							
Examiner:				Date:			